



Institutional Effectiveness Partnership Initiative Frequently Asked Questions August 19, 2016

What is the goal of the Institutional Effectiveness Partnership Initiative (IEPI)?

- The goal of this initiative is to help advance California Community Colleges' institutional effectiveness, and in the process significantly reduce the number of accreditation sanctions and audit issues, and most importantly, enhance the system's ability to serve students effectively. An important focus of the initiative is to draw on the exceptional expertise and innovation from within the system in advancing best practices and avoiding potential pitfalls.

What are the major components of the Institutional Effectiveness Partnership Initiative?

- **There are three major components of the initiative:**
 - Develop and implement a **framework of indicators** and college/district goals.
 - The framework includes student performance and outcomes, accreditation status, fiscal viability, and programmatic compliance with state and Federal guidelines.
 - The framework draws heavily on existing indicators and publicly available data.
 - The framework and initial set of goals were implemented in 2015 and the goals are updated annually. The districts' deadline to submit goals to the California Community Colleges Chancellor's Office (CCCCO) each year is June 15, and the CCCCCO's deadline to post the goals at the system level is June 30.
 - An online Indicator Portal facilitates goalsetting and submission process for the Framework of Indicators.

- Make **technical assistance teams** (called Partnership Resource Teams, or PRTs) available to institutions that express interest in receiving assistance.
 - A short Letter of Interest (LOI) is submitted by the institution’s CEO.
 - The team typically visits each institution that has submitted an LOI three times. The first visit is for the initial gathering of information, the second visit is to help the institution develop improvement strategies and timelines in an Innovation and Effectiveness Plan, and the third visit is to provide follow-up support. Additional follow-up visits are available as needed.
 - Team members are drawn from a pool of volunteer experts identified through or appointed by statewide professional organizations, the CCCCCO, and others. Team composition for each client institution is approved by the applicable CEO and the CCCCCO.
 - Team members receive travel reimbursement. They may also receive stipends, if their employer’s policy and/or agreements allow them to do so.
 - Grants of up to \$200,000 in seed money are available to institutions that receive PRT visits and submit their Innovation and Effectiveness Plans. These funds are intended to accelerate implementation of Innovation and Effectiveness Plans, and are available until funds run out.
 - The selection process for institutions to receive PRT visits takes into consideration each institution’s need and readiness.
 - Colleges, districts, or centers may submit LOIs, and may receive PRT visits and request seed-money grants. The CCCCCO may also submit LOIs and receive PRT visits, but will neither request nor receive seed-money grants.
- Enhance **professional development** opportunities for colleges and districts through the IEPI Specialized Training program.
 - An online Professional Learning Network (PLN), which was launched in Spring 2016, is a one-stop shop of effective practices, trainings and other resources for faculty, staff, administrators, and trustees. The PLN can be accessed at prolearningnetwork.cccco.edu.
 - Regional workshops offered throughout the state complement the PLN. Many of these workshops are video-captured and made available online through the PLN.
 - Professional development opportunities are available to all colleges/districts regardless of their participation with Partnership Resource Teams.

Who are the Initiative Partners?

- **California Community Colleges Chancellor’s Office:** Initiative oversight.
- **Success Center for California Community Colleges:** Development and support of the Professional Learning Network.
- **College of the Canyons:** Overall coordination.
- **Foothill College:** Leadership in Partnership Resource Team training, in coordination with the Project Director.
- **Academic Senate for CCC:** Faculty participation and support.
- **Chabot-Las Positas CCD:** Coordination of professional development.

How can you get involved?

- Volunteer for the Advisory Committee as openings occur.
 - The Advisory Committee includes over 70 leaders statewide.
 - Members are identified through statewide organizations, including the following: CEOCCC, CCCT, CCCCIO, ACBO, CCCSSAA, RP Group, and others.
 - Faculty are appointed by ASCCC.
 - The Advisory Committee meets every other month, with each meeting encompassing both general sessions and workgroup sessions.
 - The existing workgroups include:
 - Indicators
 - Technical Assistance
 - Professional Development
 - Policy, Procedures, and Practice
 - Travel costs are reimbursed.
- Express interest in Partnership Resource Team assistance.
 - Letters of Interest from institutional CEOs can be submitted at any time and are reviewed on an ongoing basis (see below).
- Visit and register for the Professional Learning Network, and even develop your own personal Professional Development Plan if you wish (see below).
- Send us feedback.
 - If you have ideas for additional professional development topics, or ideas and comments related to other aspects of the initiative, please send them to us at InstEffect@cccoco.edu, or contact us by telephone at (916) 323-3233.
 - In addition, you can reach IEPI leadership as follows:
 - Theresa Tena at ttena@cccoco.edu or (916) 327-5887
 - Barry Gribbons at barry.gribbons@canyons.edu or (661) 362-5500
 - Matthew Lee at matthew@mcleeconsulting.com or (626) 797-3372
 - Krista Johns at kjohns@clpccd.org or (925) 485-5244

Additional Questions: Partnership Resource Teams/Technical Assistance

How can I express interest in receiving technical assistance?

An institutional CEO can send a short Letter of Interest (LOI) for a Partnership Resource Team to provide technical assistance. The LOI specifies the areas of focus on which the institution wants the PRT to concentrate. The format is on the IEPI website: <http://www3.canyons.edu/Offices/IEPI/>. If you are interested in receiving technical assistance through a PRT, let your CEO know and indicate the area(s) in which you believe your institution would benefit from such assistance.

What is the process for selecting Partnership Resource Team recipients and scheduling visits?

We expect to be able to make Partnership Resource Teams available to all California Community Colleges at some point during the first five years of IEPI, should they express interest in receiving them.

- We schedule the visits based on institutional need and readiness, and on the calendars of institutional personnel and PRT members.
- The evaluation of need and readiness draws on information provided by the institutions on their areas of focus, institutional effectiveness indicators, the timing of upcoming accreditation visits, and other factors.
- A member of the IEPI Executive Committee also might call the institutional CEO for additional clarification to ensure that we're not missing any important issues.

After this initial review, institutions scheduled for visits will be asked to provide a one- or two-page treatment of their areas of focus that is somewhat more detailed than the LOI, to help determine scheduling and prepare for the initial visit. If any of the areas of focus include issues that fall within the purview of the 10+1 Faculty Academic and Professional Matters, the CEO will work with the local academic senate in producing the treatment, and the senate president will signify acceptance of the treatment before submission to the IEPI Executive Committee. The IEPI Executive Committee develops a recommendation for the roster of each PRT for approval by the CCCCCO and the client CEO.

What role does the college receiving the visit have in selecting team members?

The Project Director puts together teams based on matching the expertise of volunteers in the pool with the areas of focus of the institutions. Team composition is driven by the needs of each institution and not by representation of associations/organizations/CCCCO. The initial team composition is reviewed with the client CEO to ensure that there are no unintended conflicts with team assignments. The CEO should check with faculty and administrative leaders to help ensure that conflicts of interest are avoided. The IEPI Executive Committee and the CCCCCO also approve the final membership of each PRT.

How do we get our seed money, and what can we use it for?

Your Innovation and Effectiveness Plan, which you will develop with the assistance of your PRT, should include a list of any additional resources (with dollar amounts) you need to begin implementing the plan. That list will support your brief application for seed money, which you can use to expedite progress on any objective included in your Plan. IEPI staff will process the request as quickly as possible to expedite your receipt of the funds.

How will IEPI work with other organizations (ASCCC, RP Group, CCLC) that have mechanisms in place to provide colleges with technical assistance, to ensure consistency of information and responses to issues?

IEPI works to complement the technical assistance already available from statewide organizations. IEPI brings additional resources to fund technical assistance visits as well as seed grants to fund improvement efforts.

How is the Partnership Resource Team assistance different from what is provided by consultants?

First and foremost, the Initiative is funded to bring resources to local institutions. Those identified as needing assistance will not have to pay for the technical assistance provided by IEPI. In addition to cost differences, IEPI draws heavily on community college personnel with the required expertise and familiarity with the system to assist institutions. Using this

broad array of knowledge and skills, IEPI will provide technical assistance on a variety of issues, since Institutional Effectiveness is broad and covers just about any topic one could imagine.

What can institutions expect from the Partnership Resource Teams providing technical assistance?

Institutions can expect teams to work to understand the issues, help the institution develop solutions, and then assist the institution in planning implementation of solutions with a focus on continuous improvement. The teams will not simply meet with each institution once, deliver a report on what it should do, and walk away. Rather, the teams will be responsible for working with the institutions and providing support to them as they develop their capacity and improve.

What other IEPI support will be available to colleges/districts/centers who utilize a Partnership Resource Team?

IEPI is planning to make available to colleges/districts/centers who utilize a Partnership Resource Team the ability to participate in a “community of practice.” This opportunity is voluntary. The community of practice will promote sharing ideas across institutions and provide dedicated planning time for each institution to create a thorough and robust action plan to increase institutional effectiveness. Each institution in the community of practice will send a “leadership team” to revisit the IEPI Innovation and Effectiveness Plan or undertake another strategic planning activity in a facilitated one-to-two-day experience offsite. The initial academy/institute will typically occur three months to one year after the PRT’s follow-up visit.

What does IEPI request from each institution that receives PRT visits?

The Project Director asks each client CEO, primarily through the point persons whom she or he designates, to do the following:

- Provide logistical assistance in scheduling the visits, and ensure that the appropriate institutional personnel participate in each visit.
- Inform the institutional community about the nature and purposes of the PRT, and of each visit.
- Provide crucial documents that will illuminate the areas of focus.
- Develop the more detailed treatment of the areas of focus, as described above.
- Communicate as needed in timely fashion with the PRT Lead and Project Director, to help ensure that the institution's technical assistance needs are met, and that each visit goes smoothly.

IEPI asks each institution visited to “report out” their lessons learned at statewide venues, such as the RP Group Student Success Conference, Academic Senate Plenary, CCLC Annual Conference, and the ACCCA Conference. All necessary registration and travel expenses for such presentations are reimbursed by IEPI.

Does IEPI provide Partnership Resource Teams to districts and centers?

Yes. District-level leadership and administration is critical to deploying resources and personnel in support of IEPI goals. We have set up processes for Partnership Resource Teams

to serve multi-college districts whose CEOs have submitted district-level Letters of Interest. Each such district that receives PRT services may also request up to \$200,000 in seed money to expedite implementation of its own Innovation and Effectiveness Plan. Our intent is to complement PRTs that are being sent to colleges, while addressing the unique needs of multi-college districts, as we work to advance institutional effectiveness and student success.

Centers, too, may submit LOIs, receive PRT visits, and request seed-money grants to expedite implementation of their Innovation and Effectiveness Plans.

If my institution is having compliance issues, why would/should we contact the IEPI for assistance?

IEPI will assist local institutions in advancing their institutional effectiveness, which could include accreditation or audit issues. This will be an opportunity to identify the most efficient and effective path for coming into sustainable compliance, and implement model practices before sanctions might otherwise be imposed. Given the goals of the initiative, IEPI will treat information collected through the initiative with sensitivity. However, institutions can also choose to address compliance issues on their own.

How do I volunteer to serve on a Partnership Resource Team?

At least twice each year, the statewide Academic Senate issues a call for faculty volunteers. At the same time, IEPI sends out a call for non-faculty volunteers through the statewide associations/organizations represented on the Advisory Committee and through the IEPI listserv. In all cases, volunteers are asked to complete a brief online survey of their areas of expertise. If you would like to serve on a PRT, please complete the survey applicable to you. You must complete the whole survey to be added to the provisional PRT pool.

- Current non-faculty survey:
<https://www.surveymonkey.com/r/IEPI-PRT-ExpertiseInventory2015-2>
- Current faculty survey:
https://www.surveymonkey.com/s/Faculty_PRT_team_survey

The Academic Senate consults with local Senate presidents before moving volunteers to the approved pool and transferring the data to IEPI. The IEPI Project Director consults with each non-faculty volunteer's home CEO or designee to ensure that he or she has no concerns about the volunteer's service before moving each volunteer to the approved pool.

If you have already completed the survey within the past year, there is no need for you to do so again, unless your contact information, position, or areas of expertise have changed.

Tentative PRTs for specific institutions are drawn from the combined approved pool based on a careful match between the institution's areas of focus and the volunteers' areas of expertise. Each final PRT is approved by the IEPI Executive Committee, the CCCCO, and the receiving institution's CEO. If you are selected for a PRT, the IEPI Project Director will notify you by email at the address you submitted in the survey, to provide the necessary paperwork, arrange training, and begin the process of scheduling the initial visit to fit both team members' schedules and the receiving institution's preferred date options. (Part of that

paperwork is a Participation Certification Form, which must be signed by the appropriate local administrator authorizing your service on a specific PRT, and then submitted to IEPI well before the initial visit.)

PRT members may be asked to share their experience with others at PRT training workshops or at presentations to statewide organizations and conferences.

Experts who have already participated on PRTs report that it has been a rewarding and useful experience, and the need is great, so we encourage you to volunteer!

Additional Questions: Professional Development / Specialized Training

How does IEPI decide what professional development workshops to offer?

The IEPI Executive Committee, which decides which workshops to offer, receives input and advice from a number of sources. The Executive Committee, for example, examines letters of interest coming from colleges and identifies repeatedly-cited areas of focus (such as Integrated Planning) as good candidates for regional workshops. The Committee on Strategic Priorities for Specialized Training, which met for the first time in April 2016, brainstorms topics and provides high-level direction on topics that appear to be the timeliest and have broad interest. The smaller IEPI professional development workgroup also discusses and recommends topics for workshops, while providing guidance and suggestions on specific learning outcomes for such events, how to scope those topics, ways to ensure engagement and interactivity among participants, and other important operational considerations. CCCCCO divisions and other CCC agencies and stakeholders often present ideas for workshops to the professional development workgroup for feedback.

Is there a fee charged at Specialized Training workshops?

Yes, typically IEPI charges a nominal fee (such as \$50 per person) to attend a one-day regional workshop. The purpose of the fee is to ensure that colleges have some “skin in the game,” which generally has the effect of increasing the share of registrations that result in actual attendance at these events. Also, given that the same level of state support for IEPI cannot be counted on in perpetuity, it makes sense to set a precedent now for requiring a local contribution to cover at least some event costs.

I’ve never been to an IEPI professional development workshop. What’s it like and what should I expect?

Mindful of the tendency for many professional development events to have at best only a limited impact on change, the Specialized Training program employs a common formula for all its workshops. First, *teams* from colleges (which may include the chief executive officer, chief instructional officer, chief student services officer, faculty, classified staff, and other key campus personnel) are highly encouraged to attend the workshops. This diverse participation increases the likelihood of follow-up and buy-in at the college. Second, IEPI-sponsored workshops are designed to be engaging and highly interactive, with college teams generally given significant time to discuss and strategize on issues presented at the workshops. Third, these workshops include a requirement that teams produce and report out on an action plan or set of next steps that identify the specific strategies and practices the

teams intend to implement upon returning home to campus. And fourth, IEPI strives to follow up with the teams on the extent to which these action plans were actually implemented by the colleges (and if not, why that was the case).

How can I submit materials to the Professional Learning Network?

You are welcome to submit materials to be considered for inclusion in the PLN. Please visit the site at prolearningnetwork.cccco.edu, log in, and click “Share” in the navigation menu. Based on guidance from the Committee on Strategic Priorities and the professional development workgroup, PLN staff will issue open calls throughout the year for submissions by specific topic (such as creating campus-based professional development programs and effective practices for teaching in culturally competent/sensitive ways).

Are submissions vetted before being added to the PLN?

Yes, submissions are evaluated by teams of expert reviewers (such as faculty and RP Group researchers), which use a rubric developed by the professional development workgroup to ensure clarity and quality. The content-review process is run by @ONE, which also reviews course submissions for the Online Education Initiative.

Whom can I contact at the Professional Learning Network with questions or for support?

We welcome your questions, feedback, or requests for assistance. You can reach PLN staff at support@prolearningnetwork.org.

How does IEPI work with other initiatives in providing professional development?

IEPI actively reaches out to other statewide organizations to develop partnerships in providing training, and, where appropriate, co-present workshops with those organizations—supporting their efforts rather than competing with them. For example, Enrollment Management workshops in August 2015 were co-sponsored with the Association of Chief Business Officials. In addition, organizations such as the Community College League of California and the Association of California Community College Administrators serve as resources to both the Committee on Strategic Priorities for Specialized Training and the professional development workgroup.

Additional Questions: Framework of Indicators

What areas are included in the statutory requirements for the indicators?

Education Code Section 84754.6 requires a framework of indicators focused on student performance and outcomes, accreditation status, fiscal viability, and programmatic compliance with state and Federal guidelines. The IEPI Advisory Committee makes recommendations for specific measures for each of these areas. Colleges or districts are required to adopt goals each year for indicators all four of these areas. The initial framework of indicators and college or district goals were completed and posted by the CCCCO on June 30, 2015. Beginning with the second year of the goalsetting process, districts are required to set both short-term and longer-term (6 years) goals. Second-year goals must be completed by colleges and districts and posted by the CCCCO on June 30, 2016.

What is the purpose of the framework of indicators structure?

While the indicators are useful in helping to determine the scheduling of Partnership Resource Team technical assistance visits, even more importantly, we hope that each college/district finds the information useful in gauging its progress for internal planning purposes. We expect that the evaluation of the indicators will increase their utility for both purposes.

Does the indicator system just add another layer on top of several existing indicator systems?

The framework of indicators relied heavily on existing indicators in the first two years to help ensure consistency across systems and minimize the impact on colleges/districts. The IEPI Executive Committee has asked the Advisory Committee Workgroup to continue to work on recommendations for refining the existing indicators and develop new indicators, especially leading indicators that can help advance colleges' and districts' efforts to improve institutional effectiveness in coordination with other efforts such as the Scorecard. Operational definitions for all the indicators are posted on the Indicators Portal.

How does IEPI help colleges/districts respond to the new indicator system?

For each year, the CCCCO provides access to the baseline historical data for the immediately preceding five years for each college and district. IEPI offered regional workshops in March 2015 to share with colleges/districts the initial set of indicators and answer questions. Additionally, the CCCCO has provided and archived an instructional webinar via CCC Confer, uploaded reference documents and key definitions within the portal, and established a dedicated IEPI listserv where colleges may ask questions about the Framework of Indicators and the portal.

How does IEPI ensure that leading indicators are used?

The initial indicator system draws on current indicators that are responsive to change in a relatively short amount of time, in addition to indicators that reflect longer-term outcomes. For future versions, the IEPI Advisory Committee will work with other groups to develop additional leading indicators for the indicator system.

What is the timeline for developing the indicators and goals?

Per statute, each college is required to adopt a framework of indicators and colleges/districts must set goals for indicators in four specific areas, which we do not expect the Legislature to change:

- student performance and outcomes (student achievement),
- accreditation status,
- fiscal viability, and
- programmatic compliance with state and Federal guidelines

The Indicators Portal is located at <https://misweb.cccco.edu/ie>. This portal contains baseline data, and colleges use it to certify their adoption of the goals framework and to set their goals. Access to the goalsetting portion of the portal is limited to those who have passcode permission to enter information; the baseline information is available to the public.

Where can I obtain my college/district’s username and password?

Your college/district’s login information was initially sent from the CCCCCO to each district’s Chief Information Systems Officer. Per your locally developed goalsetting process, the login information was shared with those responsible for adopting the goals framework and college goals, and for completing the Indicators Portal certification page.

You may also contact the CCCCCO to obtain your district’s Indicator Portal login information. Please email InstEffect@cccco.edu with your request.

We have specific questions about the goalsetting process; where can we find answers?

The Institutional Effectiveness Division’s Indicators Portal website has a Definitions and Guidance tab at the top of each web page which includes: an FAQ document, a checklist, the definitions of each of the indicators, a timeline, and other guidance, which should answer all of your questions about the goal setting process.

Do goals for the indicators have to be “aspirational” or can a college set a minimum baseline?

Generally, goals should be aspirational, or higher than baseline data. Statute specifically states that goals be “challenging” and “quantifiable.” However, there could be instances in which the college already exceeds a desired standard, such as a desired fund reserve level. In this instance, it would be reasonable to set targets that are not higher than current levels. In addition to the goals being challenging or aspirational, they also should be realistic, measurable and attainable.

What happens if a college/district does not achieve their goals? Will sanctions be imposed?

Sanctions will not be imposed if the college/district does not achieve their goal. We want to encourage colleges/districts to try new things and recognize that not every effort will have the desired results. In fact, the most important changes arguably are the ones with the greatest risk and will require the colleges/districts to stretch the most.

What process do colleges/districts have to use to set their goals? Do they have to be board-approved or may they be submitted as information items? Does the college or district have to involve constituent groups, such as the local academic senate?

The goals must be adopted by the college/district. The process the college/district uses to adopt the goals should be locally determined. This includes whether or not the goals are approved by the district’s board of trustees. However, each college/district should ensure that constituent groups (e.g., local academic senates, classified staff, and students) are engaged in the process consistent with the local collegial consultation processes. Ideally, setting goals on the indicators should be integrated with the college’s existing planning processes. As a condition of receipt of Student Success and Support Program (SSSP) funds, each college must develop, adopt and post goals via the portal.

Should the goals for fiscal stability indicators and state and Federal programmatic compliance be set by the district or by the college?

Since many of the indicators of fiscal stability and state and Federal programmatic compliance reflect district-level information, colleges in some multi-college districts might decide to have their districts set the goals for these measures, which each college would then enter into the Indicators Portal. However, we recognize that each of the 72 community

college districts is unique, and will need to use the specific goalsetting processes that make sense to the district and its college(s).

How does the framework of indicators interact with the state postsecondary education goals and California Community Colleges System Goals?

California statute (ECS 84754.6) requires system- and college-set goals aligned to the state higher education goals passed in 2013, which include, among other things, improved access and success, greater completion rates by all students, and improved outcomes for graduates. In addition, in July 2014, the Board of Governors approved a set of System Goals aligned with student success, equity, student services, efficiency, and access. The framework of indicators within which colleges set goals is required to include some measures different from those set by the Board of Governors, but there are important overlaps between the two sets in the area of completion rates. The entire system could be viewed as a pyramid, with college programs and operational activities as the foundation, the framework of indicators at the next level, and the Board of Governors System Goals – measured in part via the Scorecard – at the top of the pyramid. For the college indicators and goals to inform and even drive the system goals, there will need to be further development of system goals to increase the alignment at the various levels.